| General information about company |  |
| :--- | :--- |
| Scrip code | 531638 |
| Name of the company | SURAJ LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | $31-12-2016$ |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |  |
| Shareholding pattern filed under | Regulation 31 (1) (b) |


| Sr. No. | Particular | Yes/No |
| :--- | :--- | :--- |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

Table I - Summary Statement holding of specified securities

| Category <br> (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of <br> Partly <br> paid-up <br> equity <br> shares <br> held <br> (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. <br> shares <br> held (VII) $\begin{aligned} & =(\mathrm{IV})+ \\ & (\mathrm{V})+(\mathrm{VI}) \end{aligned}$ | Shareholding as a $\%$ of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Voting Rights held in each class of securities (IX) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | No of Voting (XIV) Rights |  |  | Total as a \% of $(\mathrm{A}+\mathrm{B}+\mathrm{C})$ |
|  |  |  |  |  |  |  |  | Class eg: X | $\begin{aligned} & \text { Class } \\ & \text { eg:y } \end{aligned}$ | Total |  |
| (A) | Promoter <br>  <br> Promoter <br> Group | 12 | 14390400 |  |  | 14390400 | 74.7 | 14390400 |  | 14390400 | 74.7 |
| (B) | Public | 1823 | 4873700 |  |  | 4873700 | 25.3 | 4873700 |  | 4873700 | 25.3 |
| (C) | Non <br> PromoterNon Public |  |  |  |  |  |  |  |  |  |  |
| (C1) | Shares underlying DRs |  |  |  |  |  |  |  |  |  |  |
| (C2) | Shares held by Employee Trusts |  |  |  |  |  |  |  |  |  |  |
|  | Total | 1835 | 19264100 |  |  | 19264100 | 100 | 19264100 |  | 19264100 | 100 |

Table I - Summary Statement holding of specified securities

| Category <br> (I) | Category <br> of shareholder (II) | No. Of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities <br> (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a \% assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a \% of $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  | No. <br> (a) | As a $\%$ of total Shares held (b) | No. <br> (a) | As a $\%$ of total Shares held (b) |  |
| (A) | Promoter <br>  <br> Promoter <br> Group |  |  |  | 74.7 |  |  |  |  | 14390400 |
| (B) | Public |  |  |  | 25.3 |  |  |  |  | 4747767 |
| (C) | Non <br> Promoter- <br> Non Public |  |  |  |  |  |  |  |  |  |
| (C1) | Shares underlying DRs |  |  |  |  |  |  |  |  |  |
| (C2) | Shares held by Employee Trusts |  |  |  |  |  |  |  |  |  |
|  | Total |  |  |  | 100 |  |  |  |  | 19138167 |


| Sr. |  <br> Name of the <br> Shareholders <br> (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of <br> Partly <br> paid- <br> up <br> equity <br> shares <br> held <br> (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. <br> shares held (VII) $=(\mathrm{IV})+$ (V) + (VI) | Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Voting Rights held in each class of securities (IX) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | No of Vot | ng (XI) | ) Rights | Total as a |
|  |  |  |  |  |  |  |  | Class eg: X | Class <br> eg:y | Total | Total Voting rights |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |  |  |
| (d) | Any Other (specify) | 12 | 14390400 |  |  | 14390400 | 74.7 | 14390400 |  | 14390400 | 74.7 |
| Sub-Total (A) <br> (1) |  | 12 | 14390400 |  |  | 14390400 | 74.7 | 14390400 |  | 14390400 | 74.7 |
| (2) | Foreign |  |  |  |  |  |  |  |  |  |  |
| Total <br> Shareholding of Promoter and Promoter Group $(\mathrm{A})=$ (A)(1)+(A) (2) |  | 12 | 14390400 |  |  | 14390400 | 74.7 | 14390400 |  | 14390400 | 74.7 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder |  |  |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |  |  |
| ( 3 ) | Non-institutions |  |  |  |  |  |  |  |  |  |  |
| (a(i)) | Individuals i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1732 | 1112975 |  |  | 1112975 | 5.78 | 1112975 |  | 1112975 | 5.78 |
| (a(ii)) | Individuals - ii. <br> Individual <br> shareholders <br> holding <br> nominal share capital in excess of Rs. 2 lakhs. | 32 | 3063056 |  |  | 3063056 | 15.9 | 3063056 |  | 3063056 | 15.9 |
| (e) | Any Other (specify) | 59 | 697669 |  |  | 697669 | 3.62 | 697669 |  | 697669 | 3.62 |
| Sub-Total (B) <br> (3) |  | 1823 | 4873700 |  |  | 4873700 | 25.3 | 4873700 |  | 4873700 | 25.3 |
| Total Public Shareholding (B) $=(\mathrm{B})(1)+$ (B)(2)+(B)(3) |  | 1823 | 4873700 |  |  | 4873700 | 25.3 | 4873700 |  | 4873700 | 25.3 |
| C | Table IV - Statement show ing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { Total ( } \\ & \mathrm{A}+\mathrm{B}+\mathrm{C} 2) \end{aligned}$ |  | 1835 | 19264100 |  |  | 19264100 | 100 | 19264100 |  | 19264100 | 100 |
| $\begin{array}{\|l\|} \hline \text { Total } \\ (\mathrm{A}+\mathrm{B}+\mathrm{C}) \end{array}$ |  | 1835 | 19264100 |  |  | 19264100 | 100 | 19264100 |  | 19264100 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares <br> Underlying <br> Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding, as a \% assuming full conversion of convertible securities ( as a percentage of diluted share capital) $(\mathrm{XI})=(\mathrm{VII})+(\mathrm{X})$ As a $\%$ of $(A+B+C 2)$ | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | No. <br> (a) | As a $\%$ of total Shares held (b) | No. <br> (a) | As a <br> $\%$ of total <br> Shares <br> held <br> (b) |  |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |
| (d) |  |  |  | 74.7 |  |  |  |  | 14390400 |
| Sub-Total (A) <br> (1) |  |  |  | 74.7 |  |  |  |  | 14390400 |
| (2) | Foreign |  |  |  |  |  |  |  |  |
| Total <br> Shareholding of Promoter and Promoter Group $(\mathrm{A})=$ $(\mathrm{A})(1)+(\mathrm{A})(2)$ |  |  |  | 74.7 |  |  |  |  | 14390400 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |
| ( 3 ) | Non-institutions |  |  |  |  |  |  |  |  |
| (a(i)) |  |  |  | 5.78 |  |  |  |  | 987042 |
| (a(ii)) |  |  |  | 15.9 |  |  |  |  | 3063056 |
| (e) |  |  |  | 3.62 |  |  |  |  | 697669 |
| Sub-Total (B) <br> (3) |  |  |  | 25.3 |  |  |  |  | 4747767 |
| Total Public Shareholding $(B)=(B)(1)+$ $(\mathrm{B})(2)+(\mathrm{B})(3)$ |  |  |  | 25.3 |  |  |  |  | 4747767 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { Total ( } \\ & \mathrm{A}+\mathrm{B}+\mathrm{C} 2 \text { ) } \end{aligned}$ |  |  |  | 100 |  |  |  |  | 19138167 |
| $\begin{aligned} & \operatorname{Total}(\mathrm{A}+\mathrm{B}+\mathrm{C} \\ & ) \end{aligned}$ |  |  |  | 100 |  |  |  |  | 19138167 |


| Any Other (specify) |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Director or Director's Relatives | Director or Director's Relatives | Director or Director's Relatives | Director or Director's Relatives | Director or Director's Relatives | Director or Director's Relatives | Director or Director's Relatives |
| Name of the Shareholders <br> (I) | SHAH <br> GUNVANTKUMAR <br> TARACHAND | KUNAL <br> TARACHAND SHAH | REKHABEN GUNVANTKUMAR SHAH | MINOR ABHAY GUNVANTKUMAR SHAH | MINOR <br> DISHANT <br> KUNALBHAI <br> SHAH | CHIRAG <br> ASHOKBHAI <br> SHAH | DIXIT <br> ASHOKKUMAR SHAH |
| PAN (II) | ACDPS9526J | ACIPS5691R | ADZPS9046E | BMLPS0949C | BMLPS9684J | BMLPS9682Q | BMLPS9515A |
| No. of the Shareholders <br> (I) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 991600 | 1030600 | 2517920 | 270000 | 555000 | 292500 | 292500 |
| No. Of Partly paid-up equity shares held (V) |  |  |  |  |  |  |  |
| No. Of <br> shares <br> underlying <br> Depository <br> Receipts (VI) |  |  |  |  |  |  |  |
| Total nos. <br> shares held $\begin{aligned} & (\mathrm{VII})=(\mathrm{IV})+ \\ & (\mathrm{V})+(\mathrm{VI}) \end{aligned}$ | 991600 | 1030600 | 2517920 | 270000 | 555000 | 292500 | 292500 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 5.15 | 5.35 | 13.07 | 1.4 | 2.88 | 1.52 | 1.52 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |  |  |
| Class eg: X | 991600 | 1030600 | 2517920 | 270000 | 555000 | 292500 | 292500 |
| Class eg:y |  |  |  |  |  |  |  |
| Total | 991600 | 1030600 | 2517920 | 270000 | 555000 | 292500 | 292500 |
| Total as a \% of Total Voting rights | 5.15 | 5.35 | 13.07 | 1.4 | 2.88 | 1.52 | 1.52 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |  |  |  |  |
| No. Of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities and <br> No. Of <br> Warrants (Xi) <br> (a) |  |  |  |  |  |  |  |
| Shareholding , as a \% assuming full |  |  |  |  |  |  |  |


| conversion of convertible securities (as a percentage of diluted share capital) $(\mathrm{XI})=(\mathrm{VII})+$ (X) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 5.15 | 5.35 | 13.07 | 1.4 | 2.88 | 1.52 | 1.52 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

Number of Locked in shares (XII)

| No. (a) |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| As a $\%$ of <br> total Shares <br> held (b) |  |  |  |  |  |  |

Number of Shares pledged or otherwise encumbered (XIII)

| No. (a) |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| As a \% of <br> total Shares <br> held (b) |  |  |  |  |  |  |  |
| Number of <br> equity shares <br> held in <br> dematerialized <br> form (XIV) | 991600 | 1030600 | 2517920 |  |  |  |  |
| Reason for <br> not providing <br> PAN |  |  |  |  |  |  |  |


| Any Other (specify) |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 8 | 9 | 10 | 11 | 12 |  |
| Category | Director or Director's Relatives | Director or <br> Director's Relatives | Director or Director's Relatives | Director or Director's Relatives | Director or Director's Relatives | Click here to go back |
| Name of the Shareholders (I) | KAPIL GUNVANT SHAH | NISHA <br> MAHESHKUMAR JAIN | ASHOKKUMAR TARACHAND SHAH | CHANDRIKA KUNAL SHAH | ANILABEN ASHOKKUMAR SHAH |  |
| PAN (II) | BMLPS1343C | AXMPS4493C | ACIPS5692N | ACEPS6750P | ACEPS6749N | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 12 |
| No. of fully paid up equity shares held (IV) | 270000 | 180000 | 1077700 | 3103870 | 3808710 | 14390400 |
| No. Of Partly paidup equity shares held (V) |  |  |  |  |  |  |
| No. Of shares underlying <br> Depository <br> Receipts (VI) |  |  |  |  |  |  |
| Total nos. shares held (VII) $=(\mathrm{IV})+$ (V) + (VI) | 270000 | 180000 | 1077700 | 3103870 | 3808710 | 14390400 |
| Shareholding as a $\%$ of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of (A+B+C2) | 1.4 | 0.93 | 5.59 | 16.11 | 19.77 | 74.7 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |  |
| Class eg: X | 270000 | 180000 | 1077700 | 3103870 | 3808710 | 14390400 |
| Class eg:y |  |  |  |  |  |  |
| Total | 270000 | 180000 | 1077700 | 3103870 | 3808710 | 14390400 |
| Total as a \% of Total Voting rights | 1.4 | 0.93 | 5.59 | 16.11 | 19.77 | 74.7 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) <br> (a) |  |  |  |  |  |  |
| Shareholding, as a $\%$ assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) $+(\mathrm{X})$ As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 1.4 | 0.93 | 5.59 | 16.11 | 19.77 | 74.7 |
| Number of Locked in shares (XII) |  |  |  |  |  |  |
| No. (a) |  |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |  |


|  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Number of Shares pledged or otherwise encumbered (XIII) |  |  |  |  |  |  |
| No. (a) |  |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |  |
| Number of equity shares held in dematerialized form (XIV) | 270000 | 180000 | 1077700 | 3103870 | 3808710 | 14390400 |
| Reason for not providing PAN |  |  |  |  |  |  |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Searial No. | 1 | 2 | 3 | 4 |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Name of the <br> Shareholders (I) | PAWANDEV P. <br> JAIN | PARASMAL <br> JAIN | PADMAVATI <br> RAMESH JAIN | MUKESH RAGHUNATHMAL <br> CHANDAN | Click here to go <br> back |
| PAN (II) | AAJPP6519L | AAJPP6524B | ADLPJ1723B | AECPC6740D | Total |
| No. of fully paid <br> up equity shares <br> held (IV) | 403000 | 206324 | 248379 | 220409 | 1078112 |
| No. Of Partly paid- <br> up equity shares <br> held (V) |  |  |  |  |  |
| No. Of shares <br> underlying <br> Depository <br> Receipts (VI) |  | 248379 | 220409 |  |  |
| Total nos. shares <br> held (VII) = (IV)+ <br> (V)+ (VI) | 403000 | 206324 |  |  | 1078112 |
| Shareholding as a <br> \% of total no. of <br> shares (calculated <br> se per SCRR, <br> 1957) (VII) As a <br> \% of (A+B+C2) | 2.09 | 1.07 | 1.29 | 5 |  |

Number of Voting Rights held in each class of securities (IX)

| Class eg: X | 403000 | 206324 | 248379 | 220409 | 1078112 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Class eg:y |  |  |  |  |  |
| Total | 403000 | 206324 | 248379 | 220409 | 1078112 |
| Total as a \% of <br> Total Voting rights | 2.09 | 1.07 | 1.29 | 1.14 | 5.6 |
| No. Of Shares <br> Underlying <br> Outstanding <br> convertible <br> securities (X) <br> No. of Shares <br> Underlying <br> Outstanding <br> Warrants (Xi) <br> No. Of Shares <br> Underlying <br> Outstanding <br> convertible <br> securities and No. <br> Of Warrants (Xi) <br> (a) |  |  |  |  |  |
| Shareholding , as a <br> \% assuming full <br> conversion of <br> convertible <br> securities (as a <br> percentage of <br> diluted share <br> capital) (XI) <br> (VII)+(X) As a \% <br> of (A+B+C2) | 2.09 |  |  |  |  |
| Number of Locked in shares (XII) |  |  |  |  |  |
| No. (a) |  |  |  |  |  |
| As a \% of total <br> Shares held (b) |  |  |  |  |  |
| Number of equity <br> shares held in <br> dematerialized <br> form (XIV) | 403000 |  |  |  |  |
| Reason for not providing PAN |  |  |  |  |  |
| Reason for not |  |  |  |  |  |




| Number of equity <br> shares held in <br> dematerialized <br> form (XIV) | 686426 | 11243 | 697669 |
| :--- | :--- | :--- | :--- |
| Reason for not providing PAN |  |  |  |
| Reason for not <br> providing PAN |  |  |  |

