| General information about company |  |
| :--- | :--- |
| Scrip code | 531638 |
| Name of the company | SURAJ LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | $30-09-2016$ |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |  |
| Shareholding pattern filed under | Regulation 31 (1) (b) |


| Sr. No. | Particular | Yes/No |
| :--- | :--- | :--- |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |


| Table I - Summary Statement holding of specified securities |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Category <br> (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of <br> Partly <br> paid-up <br> equity <br> shares <br> held <br> (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. <br> shares <br> held (VII) $\begin{aligned} & =(\mathrm{IV})+ \\ & (\mathrm{V})+(\mathrm{VI}) \end{aligned}$ | Shareholding as a $\%$ of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |  |  |  |
|  |  |  |  |  |  |  |  | No of Voting (XIV) Rights |  |  | Total as a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C}$ ) |
|  |  |  |  |  |  |  |  | Class eg: X | $\begin{aligned} & \text { Class } \\ & \text { eg:y } \end{aligned}$ | Total |  |
| (A) | Promoter <br>  <br> Promoter <br> Group | 12 | 14390400 |  |  | 14390400 | 74.7 | 14390400 |  | 14390400 | 74.7 |
| (B) | Public | 1825 | 4873700 |  |  | 4873700 | 25.3 | 4873700 |  | 4873700 | 25.3 |
| (C) | Non <br> PromoterNon Public |  |  |  |  |  |  |  |  |  |  |
| (C1) | Shares underlying DRs |  |  |  |  |  |  |  |  |  |  |
| (C2) | Shares held by Employee Trusts |  |  |  |  |  |  |  |  |  |  |
|  | Total | 1837 | 19264100 |  |  | 19264100 | 100 | 19264100 |  | 19264100 | 100 |


| Table I - Summary Statement holding of specified securities |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Category <br> (I) | Category of shareholder (II) | No. Of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities <br> (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding, as a \% assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII) + (X) As a \% of $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
|  |  |  |  |  |  | No. <br> (a) | As a $\%$ of total Shares held <br> (b) | No. <br> (a) | As a $\%$ of total Shares held <br> (b) |  |
| (A) | Promoter <br>  <br> Promoter <br> Group |  |  |  | 74.7 |  |  |  |  | 14390400 |
| (B) | Public |  |  |  | 25.3 |  |  |  |  | 4744667 |
| (C) | Non <br> Promoter- <br> Non Public |  |  |  |  |  |  |  |  |  |
| (C1) | Shares underlying DRs |  |  |  |  |  |  |  |  |  |
| (C2) | Shares held by Employee Trusts |  |  |  |  |  |  |  |  |  |
|  | Total |  |  |  | 100 |  |  |  |  | 19135067 |


| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Sr. |  <br> Name of the <br> Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. <br> Of <br> Partly paidup equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total <br> nos. <br> shares <br> held (VII) $\begin{aligned} & =(\mathrm{IV})+ \\ & (\mathrm{V})+(\mathrm{VI}) \end{aligned}$ | Shareholding as a $\%$ of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Voting Rights held in each class of securities (IX) |  |  |  |
|  |  |  |  |  |  |  |  | No of Voting (XIV) Rights |  |  | Total as a $\%$ of Total Voting rights |
|  |  |  |  |  |  |  |  | $\begin{aligned} & \text { Class eg: } \\ & \text { X } \end{aligned}$ | $\begin{aligned} & \text { Class } \\ & \text { eg:y } \end{aligned}$ | Total |  |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals/Hindu undivided Family | 12 | 14390400 |  |  | 14390400 | 74.7 | 14390400 |  | 14390400 | 74.7 |
| Sub-Total $(\mathrm{A})(1)$ |  | 12 | 14390400 |  |  | 14390400 | 74.7 | 14390400 |  | 14390400 | 74.7 |
| (2) | Foreign |  |  |  |  |  |  |  |  |  |  |
| Total <br> Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) |  | 12 | 14390400 |  |  | 14390400 | 74.7 | 14390400 |  | 14390400 | 74.7 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder |  |  |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |  |  |
| ( 3 ) | Non-institutions |  |  |  |  |  |  |  |  |  |  |
| (a(i)) | Individuals i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1724 | 1112821 |  |  | 1112821 | 5.78 | 1112821 |  | 1112821 | 5.78 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 32 | 3062679 |  |  | 3062679 | 15.9 | 3062679 |  | 3062679 | 15.9 |
| (e) | Any Other <br> (specify) | 69 | 698200 |  |  | 698200 | 3.62 | 698200 |  | 698200 | 3.62 |
| Sub-Total <br> (B)(3) |  | 1825 | 4873700 |  |  | 4873700 | 25.3 | 4873700 |  | 4873700 | 25.3 |
| Total Public Shareholding (B) $=(\mathrm{B})(1)^{+}$ (B) $(2)+(B)$ (3) |  | 1825 | 4873700 |  |  | 4873700 | 25.3 | 4873700 |  | 4873700 | 25.3 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { Total ( } \\ & \mathrm{A}+\mathrm{B}+\mathrm{C} 2) \end{aligned}$ |  | 1837 | 19264100 |  |  | 19264100 | 100 | 19264100 |  | 19264100 | 100 |
| Total $(\mathrm{A}+\mathrm{B}+\mathrm{C})$ |  | 1837 | 19264100 |  |  | 19264100 | 100 | 19264100 |  | 19264100 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares <br> Underlying <br> Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding, as a \% assuming full conversion of convertible securities ( as a percentage of diluted share capital) $(\mathrm{XI})=(\mathrm{VII})+(\mathrm{X})$ As a $\%$ of $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | No. <br> (a) | As a $\%$ of total Shares held (b) | No. | As a <br> $\%$ of <br> total <br> Shares <br> held <br> (b) |  |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |
| (a) |  |  |  | 74.7 |  |  |  |  | 14390400 |
| Sub-Total (A) <br> (1) |  |  |  | 74.7 |  |  |  |  | 14390400 |
| (2) | Foreign |  |  |  |  |  |  |  |  |
| Total <br> Shareholding of Promoter and Promoter Group $(\mathrm{A})=$ $(\mathrm{A})(1)+(\mathrm{A})(2)$ |  |  |  | 74.7 |  |  |  |  | 14390400 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |
| ( 3 ) | Non-institutions |  |  |  |  |  |  |  |  |
| (a(i)) |  |  |  | 5.78 |  |  |  |  | 983788 |
| (a(ii)) |  |  |  | 15.9 |  |  |  |  | 3062679 |
| (e) |  |  |  | 3.62 |  |  |  |  | 698200 |
| Sub-Total (B) (3) |  |  |  | 25.3 |  |  |  |  | 4744667 |
| Total Public Shareholding $(\mathrm{B})=(\mathrm{B})(1)+$ $(\mathrm{B})(2)+(\mathrm{B})(3)$ |  |  |  | 25.3 |  |  |  |  | 4744667 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { Total ( } \\ & \mathrm{A}+\mathrm{B}+\mathrm{C} 2) \end{aligned}$ |  |  |  | 100 |  |  |  |  | 19135067 |
| $\begin{aligned} & \operatorname{Total}(\mathrm{A}+\mathrm{B}+\mathrm{C} \\ & ) \end{aligned}$ |  |  |  | 100 |  |  |  |  | 19135067 |


| Individuals/Hindu undivided Family |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders <br> (I) | ANILABEN ASHOKKUMAR SHAH | CHANDRIKA ASHOKKUMAR SHAH | REKHABEN GUNVANTKUMAR SHAH | ASHOKKUMAR TARACHAND SHAH | KUNAL <br> TARACHAND SHAH | SHAH <br> GUNVANTKUMAR TARACHAND | MINOR <br> DISHANT <br> KUNALBHAI <br> SHAH |
| PAN (II) | ACEPS6749N | ACEPS6750P | ADZPS9046E | ACIPS5692N | ACIPS5691R | ACDPS9526J | BMLPS9684J |
| No. of fully paid up equity shares held (IV) | 3808710 | 3103870 | 2517920 | 1077700 | 1030600 | 991600 | 555000 |
| No. Of Partly paid-up equity shares held (V) |  |  |  |  |  |  |  |
| No. Of <br> shares <br> underlying <br> Depository <br> Receipts (VI) |  |  |  |  |  |  |  |
| Total nos. shares held $\begin{aligned} & \text { (VII) = (IV)+ } \\ & (\mathrm{V})+(\mathrm{VI}) \end{aligned}$ | 3808710 | 3103870 | 2517920 | 1077700 | 1030600 | 991600 | 555000 |
| Shareholding as a $\%$ of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 19.77 | 16.11 | 13.07 | 5.59 | 5.35 | 5.15 | 2.88 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |  |  |
| Class eg:X | 3808710 | 3103870 | 2517920 | 1077700 | 1030600 | 991600 | 555000 |
| Class eg:y |  |  |  |  |  |  |  |
| Total | 3808710 | 3103870 | 2517920 | 1077700 | 1030600 | 991600 | 555000 |
| Total as a \% of Total Voting rights | 19.77 | 16.11 | 13.07 | 5.59 | 5.35 | 5.15 | 2.88 |
| No. Of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities (X) |  |  |  |  |  |  |  |
| No. of Shares Underlying <br> Outstanding <br> Warrants (Xi) |  |  |  |  |  |  |  |
| No. Of <br> Shares <br> Underlying <br> Outstanding convertible securities and No. Of Warrants (Xi) (a) |  |  |  |  |  |  |  |
| Shareholding , as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) $(\mathrm{XI})=(\mathrm{VII})+$ (Xi)(a) As a | 19.77 | 16.11 | 13.07 | 5.59 | 5.35 | 5.15 | 2.88 |


| $\left\lvert\, \begin{aligned} & \% \text { of } \\ & (\mathrm{A}+\mathrm{B}+\mathrm{C} 2) \end{aligned}\right.$ |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Number of Locked in shares (XII) |  |  |  |  |  |  |  |
| No. (a) |  |  |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |  |  |
| Number of Shares pledged or otherwise encumbered (XIII) |  |  |  |  |  |  |  |
| No. (a) |  |  |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |  |  |
| Number of equity shares held in dematerialized form (XIV) | 3808710 | 3103870 | 2517920 | 1077700 | 1030600 | 991600 | 555000 |
| Reason for not providing PAN |  |  |  |  |  |  |  |
| Reason for not providing PAN |  |  |  |  |  |  |  |


| Individuals/Hindu undivided Family |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 8 | 9 | 10 | 11 | 12 |  |
| Name of the Shareholders (I) | $\begin{array}{\|l\|} \hline \text { DIXIT } \\ \text { ASHOKKUMAR } \\ \text { SHAH } \end{array}$ | $\begin{array}{\|l\|} \hline \text { CHIRAG } \\ \text { ASHOKBHAI } \\ \text { SHAH } \end{array}$ | MINOR ABHAY GUNVANTKUMAR SHAH | KAPIL GUNVANT SHAH | NISHA <br> MAHESHKUMAR <br> JAIN | Click here to go back |
| PAN (II) | BMLPS9515A | BMLPS9682Q | BMLPS0949C | BMLPS1343C | AXMPS4493C | Total |
| No. of fully paid up equity shares held (IV) | 292500 | 292500 | 270000 | 270000 | 180000 | 14390400 |
| No. Of Partly paidup equity shares held (V) |  |  |  |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |  |  |  |
| Total nos. shares held (VII) $=(\mathrm{IV})+$ (V)+ (VI) | 292500 | 292500 | 270000 | 270000 | 180000 | 14390400 |
| Shareholding as a $\%$ of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of (A+B+C2) | 1.52 | 1.52 | 1.4 | 1.4 | 0.93 | 74.7 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |  |
| Class eg:X | 292500 | 292500 | 270000 | 270000 | 180000 | 14390400 |
| Class eg:y |  |  |  |  |  |  |
| Total | 292500 | 292500 | 270000 | 270000 | 180000 | 14390400 |
| Total as a $\%$ of Total Voting rights | 1.52 | 1.52 | 1.4 | 1.4 | 0.93 | 74.7 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) |  |  |  |  |  |  |
| Shareholding, as a $\%$ assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) $+(\mathrm{Xi})(\mathrm{a})$ As a $\%$ of (A+B+C2) | 1.52 | 1.52 | 1.4 | 1.4 | 0.93 | 74.7 |
| Number of Locked in shares (XII) |  |  |  |  |  |  |
| No. (a) |  |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |  |
| Number of Shares pledged or otherwise encumbered (XIII) |  |  |  |  |  |  |
| No. (a) |  |  |  |  |  |  |
| As a $\%$ of total Shares held (b) |  |  |  |  |  |  |
|  |  |  |  |  |  |  |


| Number of equity <br> shares held in <br> dematerialized <br> form (XIV) | 292500 | 292500 | 270000 | 270000 | 180000 | 14390400 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Reason for not providing PAN |  |  |  |  |  |  |
| Reason for not <br> providing PAN |  |  |  |  |  |  |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Searial No. | 1 | 2 | 3 | 4 |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Name of the <br> Shareholders (I) | PAWANDEV P. <br> JAIN | PARASMAL <br> JAIN | PADMAVATI <br> RAMESH JAIN | MUKESH RAGHUNATHMAL <br> CHANDAN | Click here to go <br> back |
| PAN (II) | AAJPP6519L | AAJPP6524B | ADLPJ1723B | AECPC6740D | Total |
| No. of fully paid <br> up equity shares <br> held (IV) | 403000 | 206324 | 248379 | 220409 | 1078112 |
| No. Of Partly paid- <br> up equity shares <br> held (V) |  |  |  |  |  |
| No. Of shares <br> underlying <br> Depository <br> Receipts (VI) |  | 248379 | 220409 | 1078112 |  |
| Total nos. shares <br> held (VII) (IV)+ <br> (V)+ (VI) | 403000 | 206324 | 1.29 | 1.14 | 5.6 |
| Shareholding as a <br> \% of total no. of <br> shares (calculated <br> as per SCRR, <br> 1957) (VIII) As a <br> \% of (A+B+C2) | 2.09 | 1.07 |  |  |  |

Number of Voting Rights held in each class of securities (IX)

| Class eg: X | 403000 | 206324 | 248379 | 220409 |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Class eg:y |  |  |  |  |  |
| Total | 403000 | 206324 | 248379 | 220409 |  |
| Total as a \% of <br> Total Voting rights | 2.09 | 1.07 | 1.29 | 1.14 |  |
| No. Of Shares <br> Underlying <br> Outstanding <br> convertible <br> securities (X) |  |  |  | 5.6 |  |
| No. of Shares <br> Underlying <br> Outstanding <br> Warrants (Xi) |  |  |  |  |  |
| No. Of Shares <br> Underlying <br> Outstanding <br> convertible <br> securities and No. <br> Of Warrants (Xi) <br> (a) |  |  |  |  |  |
| Shareholding , as a <br> \% assuming full <br> conversion of <br> convertible <br> securities (as a <br> percentage of <br> diluted share <br> capital (XI)= <br> (VII)+(X) As a \% <br> of (A+B+C2) | 2.09 | 0 |  |  |  |
| Number of Locked in shares (XII) |  |  |  |  |  |
| No. (a) |  |  |  |  |  |
| As a \% of total <br> Shares held (b) |  |  |  |  |  |
| Number of equity <br> shares held in <br> dematerialized <br> form (XIV) | 0 |  |  |  |  |
| Reason for not providing PAN |  |  |  |  |  |
| Reason for not |  |  |  |  |  |


| Any Other (specify) |  |  |  |
| :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 |  |
| Category | Foreign Individuals | Bodies Corporate |  |
| Category / More than 1 percentage | Category | Category |  |
| Name of the Shareholders (I) |  |  | Click here to go back |
| PAN (II) |  |  | Total |
| No. of the Shareholders (I) | 20 | 49 | 69 |
| No. of fully paid up equity shares held (IV) | 11690 | 686510 | 698200 |
| No. Of Partly paidup equity shares held (V) |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |
| Total nos. shares held (VII) $=(\mathrm{IV})+$ (V) + (VI) | 11690 | 686510 | 698200 |
| Shareholding as a $\%$ of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 0.06 | 3.56 | 3.62 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |
| Class eg: X | 11690 | 686510 | 698200 |
| Class eg:y |  |  |  |
| Total | 11690 | 686510 | 698200 |
| Total as a $\%$ of Total Voting rights | 0.06 | 3.56 | 3.62 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) |  |  |  |
| Shareholding, as a $\%$ assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 0.06 | 3.56 | 3.62 |
| Number of Locked in shares (XII) |  |  |  |
| No. (a) |  |  |  |
| As a \% of total Shares held (b) |  |  |  |
|  |  |  |  |


| Number of equity <br> shares held in <br> dematerialized <br> form (XIV) | 11690 | 686510 | 698200 |
| :--- | :--- | :--- | :--- |
| Reason for not providing PAN |  |  |  |
| Reason for not <br> providing PAN |  |  |  |

